

On the Universal Interpolating Sequences on $H^2(\beta)$

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Abstract. In this paper we investigate the relation between universal interpolating sequence and the approximate point spectrum of the adjoint multiplication operator acting on the Hilbert spaces of formal power series.

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1. Introduction

Let $\{\beta(n)\}$ be a sequence of positive numbers with $\beta(0) = 1$. We consider the space of sequences $f = \{\hat{f}(n)\}_{n=0}^{\infty}$ such that

$$\|f\|^2 = \|f\|_{\beta}^2 = \sum_{n=0}^{\infty} |\hat{f}(n)|^2 \beta(n)^2 < \infty.$$

The notation

$$f(z) = \sum_{n=0}^{\infty} \hat{f}(n)z^n$$

shall be used whether or not the series converges for any value of z .

These are called formal power series. Let $H^2(\beta)$ denote the space of such formal power series. These are Hilbert spaces with the inner product

$$\langle f, g \rangle = \sum_{n=0}^{\infty} \hat{f}(n)\overline{\hat{g}(n)}\beta(n)^2,$$

where

$$f(z) = \sum_{n=0}^{\infty} \hat{f}(n)z^n \in H^2(\beta)$$

and

$$g(z) = \sum_{n=0}^{\infty} \hat{g}(n)z^n \in H^2(\beta).$$

Let $\hat{f}_k(n) = \delta_k(n)$. So $f_k(z) = z^k$ and then $\{f_k\}_k$ is a basis such that $\|f_k\| = \beta(k)$. Now consider M_z , the operator of multiplication by z on $H^2(\beta)$ defined by

$$(M_z f)(z) = \sum_{n=0}^{\infty} \hat{f}(n)z^{n+1},$$

where

$$f(z) = \sum_{n=0}^{\infty} \hat{f}(n)z^n \in H^2(\beta).$$

In other words,

$$(M_z \hat{f})(n) = \begin{cases} \hat{f}(n-1) & n \geq 1 \\ 0 & n = 0 \end{cases}.$$

Clearly M_z shifts the basis $\{f_k\}_k$. The operator M_z is bounded if and only if $\{\beta(k+1)/\beta(k)\}_k$ is bounded and in this case we have

$$\|M_z^n\| = \sup_k \frac{\beta(n+k)}{\beta(k)} \quad , \quad n = 0, 1, 2, \dots .$$

Also note that if

$$\sup_n \sum_{i=1}^n \left(\frac{\beta(n)}{\beta(i)\beta(n-i)}\right)^2 < \infty,$$

then clearly by the Holder inequality one can see that $H^2(\beta)$ is an algebra. For a good source in formal series, we refer the reader to [4, 9, 11, 12, 14, 15, 18, 19, 21].

We say that a complex number λ is a bounded point evaluation on $H^2(\beta)$ if the functional $e_\lambda : H^2(\beta) \rightarrow \mathbb{C}$ defined by $e_\lambda(f) = f(\lambda)$ is bounded. If the point evaluation is continuous at λ , then the Riesz representation theorem implies that there is a unique function $k_\lambda \in H^2(\beta)$ such that

$$e_\lambda(f) = f(\lambda) = \langle f, k_\lambda \rangle, \quad f \in H^2(\beta).$$

The function k_λ is called the reproducing kernel for the point λ .

Let E be a Banach space. The set of bounded linear operators on E is denoted by $B(E)$. If $A \in B(E)$, the point spectrum of A , $\sigma_p(A)$, is defined by

$$\sigma_p(A) = \{\lambda \in \mathbb{C} : \ker(A - \lambda) \neq (0)\}.$$

Also, the approximate Point spectrum of A , $\sigma_{ap}(A)$, is defined by

$$\sigma_{ap}(A) = \{\lambda \in \mathbb{C} \quad : \quad \text{there is a sequence } \{x_n\} \text{ in } E \text{ such that} \\ \|x_n\| = 1 \text{ for all } n \text{ and } \|(A - \lambda)x_n\| \rightarrow 0\}.$$

Note that $\sigma_p(A) \subset \sigma_{ap}(A)$.

Let X be a separable reflexive Banach space whose elements are analytic functions on a complex domain Ω . A complex valued function φ on Ω for which $\varphi f \in X$ for every $f \in X$ is called a multiplier of X and the collection of all these multipliers is denoted by $M(X)$.

2. Main Theorem

Let X be a separable reflexive Banach space whose elements are analytic functions on a complex domain Ω . From [16] we note that a sequence $\{w_n\}_{n=1}^{\infty}$ of points of Ω is said an interpolating sequence for X if there exists a positive weight sequence $\{k_n\}_{n=1}^{\infty}$ such that the sequence $\{f(w_n)k_n\}_{n=1}^{\infty}$ is in ℓ^{∞} for all f in X and conversely every sequence in ℓ^{∞} can be written in that form. Also a sequence $\{w_n\}_{n=1}^{\infty}$ of points of Ω is said an interpolating sequence for $M(X)$ if for each bounded sequence $\{a_n\}_{n=1}^{\infty} \subset \mathbb{C}$, there exists $\varphi \in M(X)$ such that $\varphi(w_n) = a_n$ for all $n \in \mathbb{N}$.

In [3] Carleson proved a necessary and sufficient condition for a sequence to be interpolating for H^{∞} . In [8] Carleson's result was gen-

eralized to the Hardy space H^p . Also, Berndtsson, Chang, and Lin in [2] studied the analogue of Carleson's condition for the polydisk. The multiplier space is a proper subspace of bounded analytic functions on a plane domain Ω , so that it is harder for a sequence to be interpolating for $M(X)$ than for $H^\infty(\Omega)$. Not every interpolating sequence for $H^\infty(\Omega)$ is interpolating for $M(X)$, as can be seen from the study by Sundberg and Wolff of interpolating sequences for spaces that properly lie between $M(X)$ and H^∞ ([10]). Interpolating sequences for the set of multipliers of the Dirichlet space has been studied in [1] by Axler. When H is a Hilbert space of analytic functions on a plane domain, the interpolating sequence for $M(H)$ was studied in [6] and its extension for $M(X)$ where X is a Banach space of analytic functions on a special plane domain was studied in [16]. Also the interpolating sequence for a Banach space of analytic functions, on a special plane domain, was studied in [13].

From now on we suppose that $\lim_n \frac{\beta(n+1)}{\beta(n)} = 1$ or $\liminf_n \beta(n)^{\frac{1}{n}} = 1$. Then $H^2(\beta)$ consists of functions analytic on the open unit disc \mathbb{D} and each point of the open unit disc \mathbb{D} is a bounded point evaluation on $H^2(\beta)$ ([11]).

Now following the interpolation theory for the Hardy space H^2 in [8] and for certain Banach spaces of analytic functions in [13] we give the following definition.

Definition. Suppose that $\{w_n\}_{n \in \mathbb{N}}$ is a sequence of distinct points in \mathbb{D} and consider the linear transformation $T : H^2(\beta) \rightarrow \ell^2$ defined by

$$Tf = \left\{ \frac{f(w_n)}{\|e_{w_n}\|} \right\}_{n \in \mathbb{N}}.$$

The sequence $\{w_n\}_{n \in \mathbb{N}}$ is called a universal interpolating sequence for $H^2(\beta)$ if T maps $H^2(\beta)$ onto ℓ^2 .

For some sources on the interpolating topics one can see [5, 7, 8, 13, 16, 17, 20].

In this section we will investigate the relation between a universal interpolating sequence and the approximate point spectrum of the adjoint multiplication operator acting on $H^2(\beta)$.

Theorem. Suppose that $\{w_n\}_{n=1}^{\infty}$ is a universal interpolating sequence for $H^2(\beta)$. If $\mathcal{F} = \bigcap_{n \in \mathbb{N}} \ker e_{w_n}$, then

$$(\sigma_p(M_z^*|_{\mathcal{F}^\perp}) \cap \mathbb{D})^\perp = (\sigma_{ap}(M_z^*|_{\mathcal{F}^\perp}) \cap \mathbb{D})^\perp = \{\bar{w}_n\}_{n \in \mathbb{N}}.$$

Proof. First note that if $\mathcal{F} \in \text{Lat}(M_z)$, then $\mathcal{F}^\perp \in \text{Lat}(M_z^*)$. Now let $\{d_n\}_{n \in \mathbb{N}}$ be the canonical basis for ℓ^2 . If $f \in H^2(\beta)$ and $n \in \mathbb{N}$, then we get

$$\begin{aligned} \langle f, T^*d_n \rangle &= \langle Tf, d_n \rangle = \left\langle \left\{ \frac{f(w_k)}{\|e_{w_k}\|} \right\}, d_n \right\rangle \\ &= \frac{f(w_n)}{\|e_{w_n}\|} = \left\langle f, \frac{e_{w_n}}{\|e_{w_n}\|} \right\rangle. \end{aligned}$$

This implies that for $a = \{a_n\}_{n \in \mathbb{N}} \in \ell^2$ we have

$$T^*a = \sum_{n \in \mathbb{N}} a_n \frac{e_{w_n}}{\|e_{w_n}\|}.$$

If $f \in H^2(\beta)$, then

$$\begin{aligned} \left| \left\langle f, \sum_{n \in \mathbb{N}} a_n \frac{e_{w_n}}{\|e_{w_n}\|} \right\rangle \right| &\leq \sum_{n \in \mathbb{N}} |a_n| \frac{|f(w_n)|}{\|e_{w_n}\|} \\ &\leq \|a\|_2 \|Tf\| < \infty. \end{aligned}$$

Note that for all f in \mathcal{F} we have $e_{w_n}(f) = f(w_n) = 0$. Thus $e_{w_n} \in \mathcal{F}^\perp$ for all $n \in \mathbb{N}$. Also if $f \in H^2(\beta)$, then we have

$$\begin{aligned} \langle f, M_z^* e_{w_n} \rangle &= \langle M_z f, e_{w_n} \rangle = w_n f(w_n) \\ &= w_n \langle f, e_{\bar{w}_n} \rangle = \langle f, w_n e_{w_n} \rangle. \end{aligned}$$

Therefore

$$M_z^* e_{w_n} = \bar{w}_n e_{w_n}.$$

But

$$(M_z - w_n)^* = M_z^* - \bar{w}_n,$$

so indeed

$$\begin{aligned} \{\bar{w}_n\}_{n \in \mathbb{N}} &\subseteq \sigma_p(M_z^*|_{\mathcal{F}^\perp}) \cap \mathbb{D} \\ &\subseteq \sigma_{ap}(M_z^*|_{\mathcal{F}^\perp}) \cap \mathbb{D}. \end{aligned}$$

Thus to complete the proof it is sufficient to show that

$$(\sigma_{ap}(M_z^*|_{\mathcal{F}^\perp}) \cap \mathbb{D}) \subseteq \{\bar{w}_n\}_{n \in \mathbb{N}}.$$

Let $\bar{w} \in \mathbb{D} \setminus \{\bar{w}_n\}_{n \in \mathbb{N}}$. So there exists $r > 0$ such that $|w - w_n| > r$ for all $n \in \mathbb{N}$. Now if

$$\bar{w} \in \sigma_{ap}(M_z^*|_{\mathcal{F}^\perp}),$$

then there exists a sequence $\{h_n\}_{n \in \mathbb{N}}$ of unit vectors in \mathcal{F}^\perp such that $\|(M_z - w)^*h_n\| \rightarrow 0$. Since

$$(M_z - w) = \ker e_w,$$

the subspace $(M_z - w)$ is closed and so $(M_z - w)^*$ is also closed. But

$$\ker(M_z - w)^* = ((M_z - w))^\perp = [k_w].$$

Thus $(M_z - w)^*$ is injective on $[k_w]^\perp$ and hence $(M_z - w)^*$ is bounded below on $[k_w]^\perp$. So $k_w \in \mathcal{F}^\perp$. Clearly we have $T^* = \mathcal{F}^\perp$, hence there exists a nonzero sequence $a = \{a_n\}_{n \in \mathbb{N}} \in \ell^2$ such that $T^*a = k_w$. Now we have

$$\begin{aligned} \|(M_z - w)^*k_w\| &= \|(M_z - w)^*T^*a\| \\ &= \left\| \sum_{n \in \mathbb{N}} \frac{a_n}{\|k_{w_n}\|} (M_z^* - \bar{w})k_{w_n} \right\| \\ &= \left\| \sum_{n \in \mathbb{N}} a_n (\bar{w}_n - \bar{w}) \frac{k_{w_n}}{\|k_{w_n}\|} \right\| \\ &= \|T^*d\|, \end{aligned}$$

where

$$d = \{a_n(\overline{w_n - w})\}_{n \in \mathbb{N}} \in \ell^2$$

and $\|d\|_2 \geq r\|a\|_2$. On the other hand since $\{w_n\}_{n \in \mathbb{N}}$ is a universal interpolating sequence, the operator $T : H^2(\beta) \rightarrow \ell^2$ is onto and so $T^* : \ell^2 \rightarrow H^2(\beta)$ is bounded below. So there exists $\alpha > 0$ such that $\|T^*b\| \geq \alpha\|b\|_2$ for all $b \in \ell^2$. Also, since $(M_z - w)^*k_w = 0$, we obtain

$$0 = \|T^*d\| \geq \alpha\|d\|_2 \geq \alpha r\|a\|_2 > 0$$

which is a contradiction. Hence

$$\bar{w} \notin \sigma_{ap}(M_z^*|_{\mathcal{F}^\perp})$$

and we have proved that

$$\mathbb{D} \setminus \{\bar{w}_n\}_{n \in \mathbb{N}} \subseteq \mathbb{C} \setminus \sigma_{ap}(M_z^*|_{\mathcal{F}^\perp}).$$

Now clearly we get

$$(\sigma_{ap}(M_z^*|_{\mathcal{F}^\perp}) \cap \mathbb{D}) \subseteq \{\bar{w}_n\}_{n \in \mathbb{N}}.$$

This completes the proof. \square

Remark. *The above theorem has been extended for the Banach spaces of analytic functions on a plane domain ([22]).*

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